

**Silicon Prairie Capital Partners LLC**  
**OUTSIDE BUSINESS ACTIVITY REQUEST FORM**

EMPLOYEE NAME: VARIABLE

Instructions: Registered Representatives are required to complete this form to request approval to engage in any outside business activity beyond their duties that fall within the scope of the Registered Representative's association with Allison-Williams Company. Activities include, but are not limited to insurance sales, tax planning, accounting work, serving as a board member of any entity or offering independent advisory services.

Complete, sign and date this form and return to the Chief Compliance Officer. This request will be reviewed by the Chief Compliance Officer who will approve or disapprove the request. You will be notified of the Chief Compliance Officer's decision in writing. It is the responsibility of the Registered Representative to ensure notification is made in accordance with FINRA Rule 3210 and approval is granted, prior to engaging in any outside activity.

Name & Address of Organization: VARIABLE

Your role with the organization: ☐ Owner ☐ Director ☐ Employee ☐ Consultant  
☐ Agent ☐ Officer ☐ Partner ☐ Trustee ☐ Other: VARIABLE

If owner, your ownership percentage VARIABLE %

Is the organization a publicly traded company? ☐ Yes ☐ No

Is the organization's business or your activities investment-related? ☐ Yes ☐ No

Does the business involve the sale of any of the following products or services?

Insurance ☐

Promissory Notes ☐

Viatical/Lifetime Settlements ☐

Real Estate ☐

Mortgage ☐

Investment Advisory ☐

Private Placements ☐

International/Offshore Investments ☐

Hedge Funds ☐

Limited Partnerships ☐

Describe the nature or purpose of the business: VARIABLE

Describe your duties: VARIABLE

Percentage of time or hours devoted to this activity per week VARIABLE Hrs or % [Circle One]

How will you be compensated? ☐ Commission ☐ Salary ☐ Other VARIABLE

Do any of your duties involve raising capital or arranging credit? ☐ Yes ☐ No

Do you have check writing authority for the organization? ☐ Yes ☐ No

Are any of Allison-Williams Company's clients or prospects also associated with this outside activity? ☐ Yes ☐ No

Are any of the employees, officers, partners or owners of the organization registered with another broker dealer? ☐ Yes ☐ No

If this outside activity request is approved, the Registered Representative understands that this information may be required to be disclosed on Form U4 and agrees to notify Allison-Williams Company immediately should any of the information change.

VARIABLE

Registered Representative Signature

VARIABLE

Date

I have received this request and reviewed the information in accordance with FINRA Rule 3210. I have determined that this outside activity request:

☐ Creates the potential for a conflict of interest

☐ Does not create the potential for a conflict of interest. This activity will not interfere with or otherwise compromise the Registered Representative's responsibilities with Allison-Williams Company. It is unlikely that this activity will be viewed by customers or the public as part of Allison-Williams Company's business based upon, among other factors, the nature of the proposed activity and the manner in which it will be offered. This activity is not deemed to be a private securities transaction.

Unless noted below, no limitations have been imposed on this activity:  
VARIABLE

The outside activity request is: ☐ Approved ☐ Not Approved

VARIABLE

Signature of Principal

VARIABLE

Date